

Miscellaneous Fees

Full Transfer of Accounts Delivery (ACAT Exit Fee)	\$75
Legal Transfer	\$150
Transfer & Ship (DRS Eligible)	\$25
Transfer & Ship (Non-DRS Eligible)	\$500
Trade & Margin Extension	\$25
Mailgram	\$10
Physical Reorganization	\$150
Legal Return	\$75
Bounced Check	\$25
Stop Payment	\$25
Safekeeping (covers deposit, custody & withdrawal)(per month)	\$15
Select Access Cash Management Account w/out Debit Card	\$0
Select Access Cash Management Account w/Visa Classic Debit Card	\$60
Premiere Access Cash Management Account w/Premier Rewards Card	\$110
Annual Custody & Recordkeeping (Inactive brokerage) Fee (per acct, per year)	\$50
IRA Maintenance Fee (per acct, per year)	\$50
Profit Sharing and Money Purchase Prototypes (per acct, per year)	\$35
990-T IRS Filing Fee	\$300
IRA Termination Fee	\$125
Overnight Delivery	\$20
Wire Transfer	\$15
Alternative Investments Transfer/Re-registration	\$50
Alternative Investment Annual Custody and Valuation Fee (capped at \$105/account)	\$35

All fees are subject to change

***Please contact your account representative with questions regarding these fees.

***Your broker/dealer may turn to available sources to satisfy debits accumulated in your account. Sources include, available cash or core balance, margin credit, or any securities in this or any other account in which you have an interest.